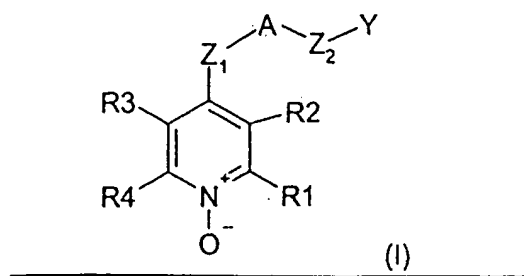
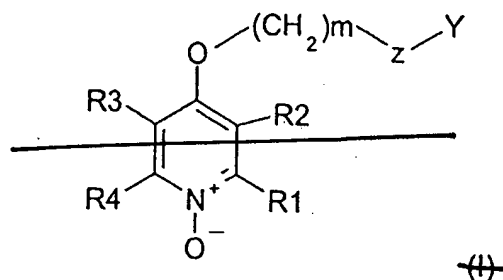


AMENDMENTS TO THE CLAIMS

Claim 1 (Currently Amended) A process for preparing substituted pyridine N-oxide compounds of the formula



in which R1, R2, R3 and R4 are each independently H, a carboxyl group or a C₁-C₁₂-alkyl radical which may optionally contain one or more atoms from the group of N, O and S, or R1 and R2 and/or R3 and R4 together may each form an optionally substituted C₄-C₂₀-alkylene radical which may contain one or more atoms from the group of N, O and S,

A is benzyl or a (CH₂)_m group where m may be an integer from 1 to 12,

Z₁ and Z₂ are each independently O or S, and Y is H, a C₁-C₁₂-alkyl radical which may optionally contain one or more atoms from the group of N, O and S, a C₆-C₂₀-aryl radical or a C₅-C₂₀-heterocycle, and the radicals may optionally be substituted by halogen, C₁-C₆-alkyl, nitro, phenyl or tert-amine, or Z₂ and Y together may form an optionally substituted ring or an optionally substituted ring system, and the ring or the ring system may contain one or more atoms from the group of N, O and S, from the corresponding 4-halopyridine N-oxide of the formula

in which R1-R4 are each as defined above and X is chlorine, bromine or iodine, which comprises reacting the compound of the formula (II) in the presence of a phase transfer catalyst and of a base with a compound of the formula



in which Z₁, Z₂, A and Y are each as defined above, at a temperature up to the reflux temperature, to give the corresponding substituted pyridine N-oxide compound of the formula (I).

Claim 2 (Original) The process as claimed in claim 1, wherein compounds of the formula (I) are prepared in which R1, R2, R3 and R4 are each independently H or C₁-C₄-alkyl, A is benzyl or a (CH₂)_m group where m is a number from 2 to 6, Z₁ and Z₂ may each independently be O or S, and Y is H, a C₁-C₆-alkyl radical which may contain one or two atoms from the group of O and S, a C₆-C₁₀-aryl or C₅-C₁₀-heterocycle radical, and the radicals may optionally be substituted by C₁-C₄-alkyl, halogen, nitro, tert-amine or phenyl.

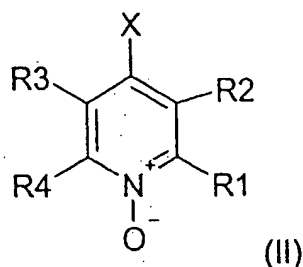
Claim 3 (Original) The process as claimed in claim 1, wherein the compounds of the formula (II) and of the formula (III) are used in a molar ratio of from 1:1.1 to 1:10.

Claim 4 (Original) The process as claimed in claim 1, wherein the phase transfer catalyst used is an ammonium salt compound of the formula (V) R₅R₆R₇R₈N⁺A⁻ in which the R₅ to R₈ radicals may each independently be C₁-C₂₀-alkyl, phenyl, arylalkyl or alkylaryl, and the radicals may optionally be mono-or poly- substituted by functional groups, and A⁻ is an anion from the group of chloride, bromide, fluoride, iodide, hydroxide, hydrogensulfate, perchlorate, nitrate, acetate, benzoate or mesylate, or is a corresponding quaternary phosphonium salt, polyether of cyclic or acyclic nature, or else an alkyl-substituted guanidinium salt.

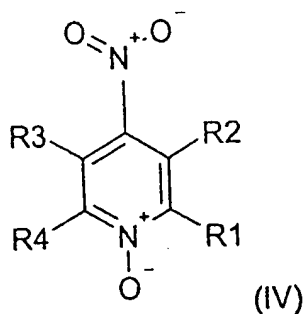
Claim 5 (Original) The process as claimed in claim 1, wherein the phase transfer catalyst used is an ammonium salt compound of the formula (V) $R_5R_6R_7R_8N^+A^-$ in which the R_5 to R_8 radicals are each independently a C_1 - C_{16} -alkyl radical or a benzyl radical, and A^- is a chloride or bromide.

Claim 6 (Original) The process as claimed in claim 1, wherein the phase transfer catalyst is used in an amount of from 0.1 to 30 mol%, based on the compound of the formula (II).

Claim 7 (Original) A process for preparing a 4-halopyridine N-oxide compound of the formula (II)



in which R_1 , R_2 , R_3 and R_4 are each independently H, a carboxyl group or a C_1 - C_{12} -alkyl radical which may optionally contain one or more atoms from the group of N, O and S, or R_1 and R_2 and/or R_3 and R_4 together may each form an optionally substituted C_4 - C_{20} -alkylene radical which may contain one or more atoms from the group of N, O and S, and X is chlorine, bromine or iodine, which comprises reacting a 4-nitropyridine N-oxide compound of the formula



in which R1 to R4 are defined in formula (II), in the presence of a phase transfer catalyst and in the presence of an acid, with an alkali metal halide to give the corresponding compounds of the formula (II).

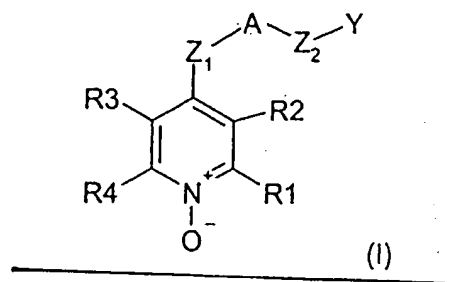
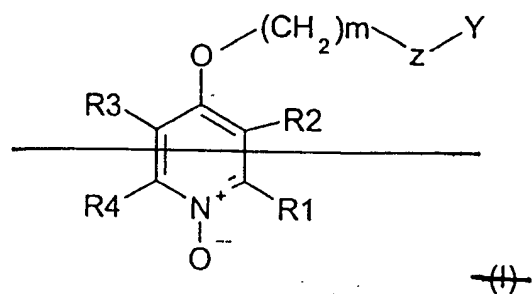
Claim 8 (Original) The process as claimed in claim 7, wherein the phase transfer catalyst used in the conversion of the compound of the formula (IV) to the compound of the formula (II) is an ammonium salt compound of the formula (V) $R_5R_6R_7R_8N^+A^-$ in which the R_5 to R_8 radicals may each independently be C_1 - C_{20} -alkyl, phenyl, arylalkyl or alkylaryl, and the radicals may optionally be mono- or polysubstituted by functional groups, and A^- is an anion from the group of chloride, bromide, fluoride, iodide, hydroxide, hydrogensulfate, perchlorate, nitrate, acetate, benzoate or mesylate, or a corresponding quaternary phosphonium salt, polyether of cyclic or acyclic nature, or else an alkyl-substituted guanidinium salt.

Claim 9 (Original) The process as claimed in claim 7, wherein the phase transfer catalyst used is an ammonium salt compound of the formula (V) $R_5R_6R_7R_8N^+A^-$ in which the R_5 to R_8 radicals are each independently a C_1 - C_{16} -alkyl radical or a benzyl radical, and A^- is a chloride or bromide.

Claim 10 (Original) The process as claimed in claim 7, wherein the acid used in the conversion of the compound of the formula (IV) to the compound of the formula (II) is HCl, HBr or glacial acetic acid.

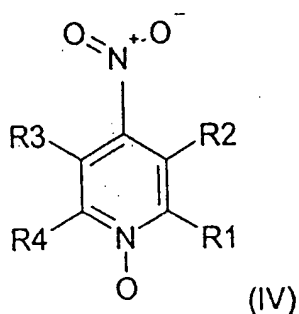
Claim 11 (Original) The process as claimed in claim 7, wherein the conversion of the compound of the formula (IV) to the compound of the formula (II) is carried out in a solvent from the group of the optionally halogenated hydrocarbons, ethers and nitriles.

Claim 12 (Currently Amended) A process for preparing substituted pyridine N-oxide compounds of the formula

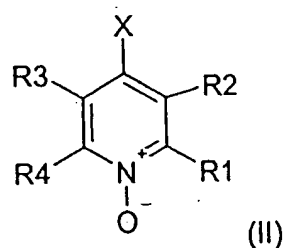


in which R1, R2, R3 and R4 are each independently H, a carboxyl group or a C₁-C₁₂-alkyl radical which may optionally contain one or more atoms from the group of N, O and S, or R1 and R2 and/or R3 and R4 together may each form an optionally substituted C₄-C₂₀-alkylene radical which may contain one or more atoms from the group of N, O and S, A is benzyl or a (CH₂)_m group where m may be an integer from 1 to 12, Z₁ and Z₂ are each independently O or S, and Y is H, a C₁-C₁₂-alkyl radical which may optionally contain one or more atoms from the group of N, O and S, a C₆-C₂₀-aryl radical or a C₅-C₂₀-heterocycle, and the radicals may optionally be substituted by halogen, C₁-C₆-alkyl, nitro, phenyl or tert-amine, or Z₂ and Y together may form an optionally substituted ring or an optionally substituted ring system, and the ring or the ring system may contain one or more atoms from the group of N, O and S,

which comprises reacting a 4-nitropyridine N-oxide compound of the formula



in which R1 to R4 are each as defined in formula (I), in the presence of a phase transfer catalyst and in the presence of an acid, with an alkali metal halide to give the corresponding compounds of the formula



in which R1 to R4 are each as defined in formula (I) and X is chlorine, bromine or iodine, and then afterwards, after isolating it from the reaction mixture, reacting the compound of the formula (II), in the presence of a phase transfer catalyst and of a base, with a compound of the formula



in which Z₁, Z₂, A and Y are each as defined above, at a temperature up to the reflux temperature, to give the corresponding substituted pyridine N-oxide compound of the formula (I).